

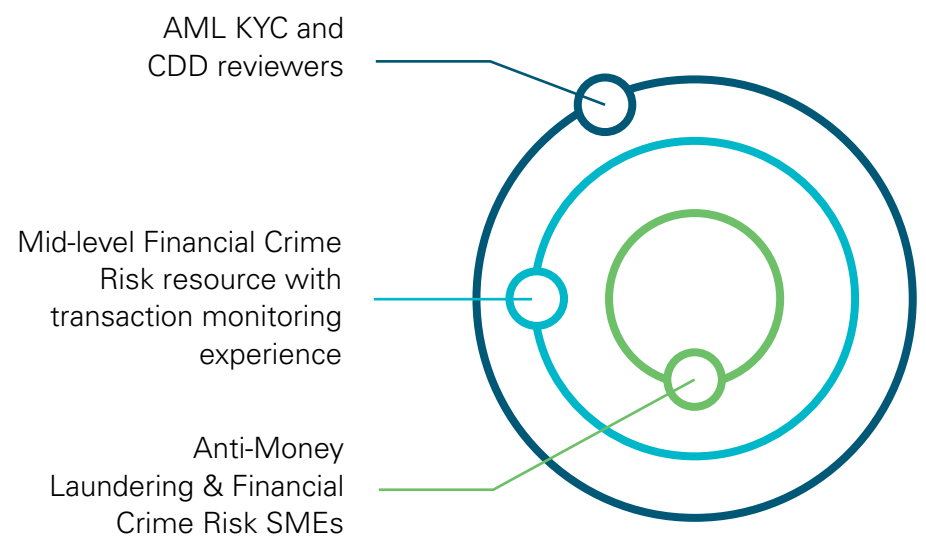
KYC & CDD Resourcing

Quality personnel for effectively managing financial crime risk



The ever growing importance of financial crime risk (FCR) and the associated burden of compliance means you need to have the right mix of resources in place to manage both business as usual financial crime checks and rectify issues arising from legacy business. However, with high staff turnover and variable quality, businesses like yours are finding that outsourcing adhoc financial crime risk projects is more cost-effective and productive.

In response, we have developed a wide pool of contractor resource, supported by in-house expertise, to augment your existing teams on an outsourced basis. Whether you need a specialist financial crime subject matter expert (SME) to help review your framework, controls and sanctions or a review team to clear a backlog of AML KYC and CDD reviews, we have hundreds of quality people at our fingertips.



How can we help you?



Interim Subject Matter Experts (SMEs)

Finding SMEs with the right level of knowledge and experience can be challenging in financial crime. We can find you AML Policy and Advice SMEs, Sanctions experts and technical Transaction Monitoring specialists to help you improve your financial crime controls. We can also make sure you're covered while you look for permanent resource by providing interim MLROs.



Large Rectification Project Resource

Resourcing and maintaining the right level and quality of personnel for large AML rectification projects is often a challenge. Through our extensive pool of AML reviewers and project leaders we can source you large numbers of people at short notice. We can even run the project remotely or from our dedicated offices.



Small Review Teams

We can drop in a team of 5-10 individuals to review your AML and sanctions plan and test against requirements. Feeding into your leadership, this team will provide a seamless extension of your existing resource to clear the backlog of reviews. To maintain high standards, we provide quality assurance at various touch-points along the way.



Due Diligence Squads

As part of a merger and acquisition it is a contractual obligation to ensure all AML checks have been completed. Our Due Diligence Squads conduct AML KYC checks, Customer and Enhanced Due Diligence and liaise with IT and data protection to ensure appropriate systems and data transfer is in place.

Better quality than a recruitment agency

We are, first and foremost, compliance specialists which means you get full assurance, training and oversight of the people we source for you. We supply known and highly vetted resource quicker than recruitment agencies, all with the requisite skills and qualities you need. Better still, TCC contractors have access to our resources, so their knowledge continues to grow.

Sectors we commonly support with AML



Insurance & Pensions Providers



Retail & Wealth Managers



Asset Managers



Wholesale & Investment Banking

Roles we can source for you:

- Financial Crime Project Team Leaders
- AML KYC / CDD Reviewers
- CAMS / ACAMS qualified resource
- AML Monitoring and Testing SMEs
- AML Policy and Advice SMEs
- Transaction Monitoring and Testing SMEs
- Sanctions Specialists & Reviewers
- MLROs - CF11/ SMF17

KYC & CDD Remediation

Programme assurance fully managed by us



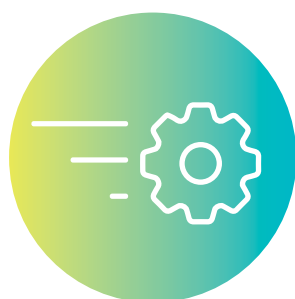
If you need extra reassurance, we handle your AML KYC and CDD review end-to-end. We'll handle the infrastructure, source and vet resource and manage the quality control, giving you assurance of a quality job well done without the hassle of managing it yourselves. As a result, backlogs will be cleared all the while learnings from the review are embedded in current processes for future quality improvement.

Why choose TCC?



Flexibility

We can offer as much or as little management as you require, whether you need a fully outsourced solution or just the resource



Delivery accelerators

Our agile and efficient approach, coupled with RegTech accelerates AML rectification programmes, ultimately resulting in cost savings



AML & CDD expertise

Our SME resource and programme managers all have extensive experience and expertise running successful AML & CDD rectification programmes



Quality Control

Our in-house AML SMEs keep control of quality monitoring throughout the programme, resulting in optimum outcomes and reducing costs.

Typical programmes we deliver



END-TO-END



MODULAR



ASSURANCE



IN-FLIGHT

Our common delivery approach can be adapted depending on your needs

