

Deep dive compliance reviews

Getting under the skin of your business

The regulatory environment is changing at rapid pace. ASIC's 'why not litigate?' strategy makes nailing compliance more important than ever before.

Whether it's your approach to vulnerable customers or your governance arrangements, our subject matter experts can help. Whatever regulatory problem you're struggling with, we'll do a thorough review to uncover the root causes. Then, we'll collaborate with you to put a plan in place, helping you to exceed regulatory expectations and drive commercial success.

We've got years of experience working with regulators around the world, so you'll also gain valuable insight into industry best practice both on home ground and internationally.

Connecting the dots



We connect compliance and culture, making the most of regulatory change to generate long-term business sustainability.

What you'll get

We can solve any regulatory problem. Here's some examples of how we can help:



Business model & strategy



Customer outcomes testing



Culture & conduct risk assessment



Regulatory change support



Financial crime risk management

We take a flexible approach, tailoring our support to your specific needs. For example, our regulatory change support programmes are modular and might look like this:

1

Review

We'll uncover where you're currently meeting requirements and identify any gaps and weaknesses.

2

Implementation

We'll create a tailored action plan. Our experts can either implement this for you, or provide independent assurance.

3

Ongoing support

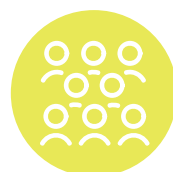
Compliance doesn't end after implementation. We'll provide periodic assurance to make sure you stay compliant.

How we do it better



Expertise

Our SME's have in-depth regulatory knowledge. We know the industry inside out and our experience in the UK means we've seen it all before and know how to help.



Culture oriented

Because we know that culture is at the heart of compliance and conduct risk, we make culture a focus in our compliance support.



Commercially minded

We help you make the most of regulatory change and compliance. We help you balance evolving regulatory demands with your business goals.