

Focus on your commercial success

confident in the knowledge that we've got your back

Our custom-built ongoing compliance support packages give you confidence that compliance is taken care of so you can spend time on what matters most - growing your business.

With the inside track on the FCA and our cross-sector expertise, we uncover where you are exposed to the greatest regulatory risks and benchmark against your peers. As a result, you get ongoing compliance support that is tailored, comprehensive and, above all, proportional to your business.

Our custom-built retained services are:



Flexible



Scalable



Delivered by FCA experts

What you'll get



Annual risk-based audits



Outcomes testing through risk-based sampling of client transactions



Ongoing risk-based monitoring and support, including response to technical queries



Touchpoints with regulatory and market insight and horizon scanning



Thematic reviews - design, build and deliver

We tailor your programme to your business model and according to your specific needs. For example, an annual audit retained service would include:



How we do it better



Proportional

Our deep regulatory expertise means we know what the FCA expects, so we know where you should be focusing your energy and resources.



Culture oriented

Our culture specialism makes it easier for you to get it right by addressing the root causes of many compliance issues.



Value driven

We focus on finding the most efficient solutions to problems, with a flexible and streamlined approach that gives you more for your money.